UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE COMMISSION, Plaintiff,

Case No. 1:17-CV-04179-DLC

-against-

ALPINE SECURITIES CORPORATION, Defendant.

NOTICE OF CHANGE OF ADDRESS

TO: ATTORNEY SERVICES CLERK AND ALL OTHER PARTIES

☑ I have cases pending ☐ I have no cases pending

Pursuant to Local Rule 1.3 of this Court, please take notice of the following attorney information change (s) for:

Mark L. Smith
FILL IN ATTORNEY NAME

My SDNY Bar Number is:_____ My State Bar Number is CA #213829

I am,

☐ An attorney
☐ A Government Agency attorney
☑ A Pro Hac Vice attorney

FIRM INFORMATION (Include full name of firm (OLD AND NEW), address, telephone number and fax number):

OLD FIRM:
FIRM NAME: SMITH CORRELL, LLP
FIRM ADDRESS: 11766 Wilshire Blvd., #1670, Los Angeles, CA 90025
FIRM TELEPHONE NUMBER: (213) 443-6222
FIRM FAX NUMBER: (877) 730-5910

NEW FIRM:
FIRM NAME: SMITH CORRELL, LLP
FIRM ADDRESS: 11601 Wilshire Blvd., #2080, Los Angeles, CA 90025
FIRM TELEPHONE NUMBER: (213) 443-6222
FIRM FAX NUMBER: (877) 730-5910

☑ I will continue to be counsel of record on the above-entitled case at my new firm/agency.

☐ I am no longer counsel of record on the above-entitled case. An order withdrawing my appearance was entered on _____ by Judge_____.

Dated: 10/17/17

s/ Mark L. Smith
ATTORNEY'S SIGNATURE